

Abstract

 Environmental concerns arising from the over-dredging of sand have led to restrictions 22 on its extraction across India, with direct economic impacts on concrete construction. A suitable environmentally friendly alternative to sand must be found to match the huge 24 demand from the concrete construction industry. At the same time, waste plastic is \parallel rarely recycled in India, with as much as 40% left in landfill. The dumping of such materials which degrade at extremely low rates meaning they persist in the environment is a long-term environmental concern.

 To tackle both issues, it is proposed to process waste plastic to create a partial replacement for fine sand in a novel mix for structural concrete. In this paper eleven new concrete mixes are evaluated to study five plastic material compositions, three groups of particle sizes, three different aspect ratios, and two chemical treatments and establish an appropriate choice of material to act as partial replacement for sand.

 The results show that replacing 10% sand by volume with recycled plastic is a viable proposition that has the potential to save 820 million tonnes of sand every year. Through suitable mix design the structural performance of concrete with plastic waste can be maintained. This preliminary work was supported through funding from the British Council under the UKIERI (United Kingdom India Educational Research 38 | Initiative) programme for the project 'Development of structural concrete with the help 39 of plastic waste as partial replacement for sand'.

Keywords: Structural concrete; Sand replacement; Recycled plastic; Mix design.

1 Introduction

 Cement manufacture in India reached 280Mt in 2014 (Van Oss, 2015), second only to China. India exports only small volumes of cement, with internal demand for concrete being driven by a growing economy, growing population, and rising living standards (World Bank, 2016). Mass extraction of sand, usually via river dredging, has been a problem in India for a number of years and is mainly fed by construction demand. A high court ruling in 2011 has virtually eliminated sand dredging (Zeenews, 2010) with the consequence of supply problems within India.

 The Indian central pollution control board (CPCB) reported in 2008 that approximately 15,000 tons of plastic waste is dumped every day in India (Anon, 2015). Non- biodegradable plastic waste is inert and breaks down very slowly once buried in landfill. Even if all of this plastic could be recycled, by-products of the recycling process such as polyethylene terephthalate (PET) sand are still required to be sent to landfill.

 A solution to both of these problems is proposed by substituting fine sand in concrete 56 mixes with processed waste plastic, which would otherwise remain as waste in landfill. This would not only encourage the collection and use of waste, but would provide 58 diaternative sources of fine material in place of sand in novel concrete mixes

2 Plastic as a replacement for sand in concrete

 Initial research on the effects of plastic aggregate substitution on concrete compressive strength was undertaken by Al-Manaseer and Dalal (1997), who explored the effect of 62 an increasing proportion of angular waste plastic particles on cylinder strength for three different water to binder ratios. It was found that compressive strength decreased with an increase in plastic aggregate content, with this loss in strength attributed to poor

65 bonding between the plastic and cement paste (Figure 1). The plastic was able to pull 66 out, rather than to split in tension, during compressive testing of the concrete.

 Saikia and de Brito (2014) tested concrete mixes containing three different sized and 68 shaped particles: 1) large (10-20mm length) particles; 2) shredded flaky fine particles $(2-5mm length)$; and 3) cylindrical pellet shaped particles (3mm length). Each of these was tested over a series of replacement ratios, ranging from 0% to 15% of the sand. It was found that the higher the replacement ratio, the lower the concrete's compressive strength, attributed to the lack of interaction between the PET aggregate and cement paste (Figure 1). This study concluded that the interfacial transition zone in concrete containing PET aggregate is weaker than that of standard concrete.

 Albano et al. (2009) used irregularly shaped PET particles between 2.6mm and 11.4mm in replacement quantities of 10% and 20% with two different w/c ratios (0.50 and 0.60). It was found that the compressive strength reduced with increases in the proportion of plastic, implying that plastic particles acted as defects within the internal 79 structure of the concrete. Mix designs containing only larger plastic particles were 80 substantially weaker compared to mixes containing only smaller PET particles, as illustrated in Figure 1. The formation of a honeycomb of cavities and pores was 82 observed and attributed to the low workability affecting the compaction of the concrete.

83 Frigione (2010) used granulated PET that was graded very similarly to the siliceous 84 sand that was to be replaced in the mix. It was found that while the compressive 85 strength of the mix decreased, the reduction was less than 2% when a replacement 86 ratio of 5% was used. This is favourable when compared to the 12% loss seen by 87 Saikia and de Brito (2014) when 5% sand was replaced with larger plastic pellets. This 88 indicates that although the use of plastic may cause a decrease in compressive

89 strength because of a poorer bond to the surrounding matrix when compared to sand, 90 the loss can be limited by appropriate mix design and choice of plastic.

91 | Ismail and Al-Hashmi (2008) tested concrete with a mixture of PET and polystyrene as 92 sand replacement. Subsequent reductions in compressive strength were attributed to a 93 decrease in adhesive strength between the surface of the waste plastic and the cement 94 paste as plastic is a hydrophobic material (Figure 1). Therefore movement of the water 95 required for cement hydration is hindered, leaving isolated volumes of unhydrated 96 cement within the bulk volume.

97 Albano et al. (2009) demonstrate that both larger particles, and higher replacement 98 percentages, cause significant reductions in tensile strength due to an increase in voids 99 present within the concrete. This is supported by Frigione (2010), where 5% 100 replacement by volume of sand using granulated PET led to only a 2% loss in tensile 101 | strength.

102 Saikia and de Brito (2014) found that as with compressive strength, there was a loss of 103 tensile performance when plastic aggregate was introduced into the concrete, and the 104 more plastic added, the greater the loss. The loss of tensile strength was attributed to 105 the characteristics of the plastic, primarily its smooth surface, but also the presence of 106 free water at the plastic surface causing a weak bond with surrounding cement paste. 107 | Microscopic studies of failed specimens revealed that the most common form of failure 108 was de-bonding at the plastic-concrete interface.

109 The influence of three different curing conditions for concrete with plastic waste 110 aggregates on its mechanical performance was explored by Ferreira et al. (2012) who 111 found that the dominant effect on performance was not curing conditions but 112 | percentage replacement.

113 Safi et al. (2013) examined the use of waste plastic bags in the production of self 114 Compacting mortar mixes. Replacement levels of 0-50% were tested, with reductions in 115 strength being related to the percentage replacement. At 30% substitution, average 116 strength reductions of 15% were recorded at 28 days. The reductions in strength are 117 attributed to poor bond between the plastic and surrounding cement paste, a 118 conclusion supported by the majority of the research in the literature.

119 Choi et al. (2005) heated PET fragments of 5-15mm in size to create rounded 120 aggregate particles for use in mortar mixes. Replacing all the large aggregate in the 121 mix with the new particles results in reductions in strength of 42% at 28 days. The 122 round shapes of the new PET particles were attributed to improvements in workability 123 of the mixes with replacement materials. Hassani et al. (2005) replaced up to 20% of 124 coarse aggregate by volume with PET granules in concrete-asphalt mixes with 125 moderate impact on the material resistance to deformation and creep. Batayneh et al. 126 (2007) propose the combined use of ground glass and plastic as replacement 127 materials, and show moderate reductions in strength of up to 13% in a 20% aggregate 128 replacement mix.

129 In addition to waste plastic, many other materials have been trialled as replacement 130 materials in concrete mixes, including recycled electrical cable rubber (Salih Taner and 131 Nur Pelin, 2017) waste polystyrene (Amianti and Botaro, 2008; Herki and Khatib, 132 2017), and scrap-tire rubber (Eldin and Senouci, 1993; Siddique and Naik, 2004). In 133 addition to sand and aggregate alternatives, Gesoglu et al. (2017) replace 5-25% of 134 cement by weight with plastic waste powder, however the resulting concrete had, as 135 expected, a linear reduction in strength with increasing cement replacement.

136 Comprehensive review papers by Siddique et al. (2008) and Saikia and de Brito (2012) 137 and Sharma and Bansal (2016) illustrate many of the variables associated with using

138 plastic as an aggregate replacement, and demonstrate the key finding that as the 139 replacement percentages increase, so the concrete compressive strength reduces. It is 140 therefore a key challenge in this paper to minimise as far as possible this loss in 141 Strength in order that a concrete for structural use can be proposed.

142 **2.1 Treatment of Particles**

143 \parallel A key reason for premature failure of concrete containing waste plastic is the reduced 144 bond between the plastic and surrounding matrix. To improve this bond, chemical or 145 physical treatment of the plastic prior to concrete mixing has been proposed. Naik et al. 146 (1996) subjected shredded high-density plastic waste to treatment with (i) 5% 147 Hypochlorite Solution and (ii) 5% Hypochlorite Solution + 4% Sodium Hydroxide in an 148 attempt to improve bonding with the cementitious matrix. It was expected that plastics 149 would not form chemical bonds with cementitious materials, only physical bonds. 150 However, by being treated with oxidising chemicals or treatments the polymer chains 151 would react with the chemicals modifying the surface functional groups. Rather than 152 having fairly stable hydrogen ions bonded to the carbon, hydroxide and oxygen ions 153 would be bonded as well. As these ions are more unstable it is easier for the calcium in 154 the cement matrix to bond with them to create calcium oxides or calcium hydroxide. 155 Hence, a partial chemical bonding between cement and plastic could be possible. It 156 was found that compared to the concrete containing untreated plastic, both mixes had 157 an increased compressive strength, however, the alkaline bleach was the strongest 158 and therefore the most effective at reducing the loss of compressive strength.

159 Choi et al. (2005) cut waste PET bottles into fractions in the range of 5-15mm and 160 coated them in ground granulated blast-furnace slag (GGBS) to solidify the surface of 161 the aggregate, aiming to facilitate the reaction of GGBS to form a pozzolanic material, 162 strengthening the interfacial zone between cement paste and aggregate. Using

163 scanning electron microscopy (SEM) it was shown that hydrates densely covered the 164 Surface of the plastic aggregate, which indicates the GGBS on the plastic reacted with 165 the calcium hydroxide in the cement to form a chemical bond. It can be seen (Figure 1) 166 that the percentage loss of strength in the concrete containing the GGBS is 167 considerably smaller than the loss of strength found by other researchers who didn't 168 use GGBS to coat their plastic, even though large sized particles were used. Choi et al. 169 (2005) did not test concrete containing untreated plastic aggregate, and so it is not 170 possible to quantify the effect of the GGBS coating.

171 Figure 1 summarises the results from Albano (2009), Ismail (2008), Saikia (2014), Choi 172 (2005) and Al-Manaseer (1997), plotting strength loss (%) against plastic replacement 173 by volume. The spread of the results is explained by the number of variables between 174 each set of tests, including w/c ratio, and the type, size, shape, surface texture and 175 $\left|$ treatment of the plastic.

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179 **2.2 Summary**

180 The volumetric substitution of waste plastic for sand in concrete reduces its density and 181 compressive strength, with higher replacement ratios causing greater strength losses. 182 This may arise from a poor bond between the plastic and surrounding matrix, excess 183 water due to the hydrophobic plastic surface causing an increase in voids, or a failure 184 of the plastic in tension. All failure modes in concrete under everyday design situations 185 are a consequence of tensile failure (Eyre and Nasreddin, 2013) and controlling the 186 tensile strength can be a method to limit losses in compressive strength.

187 The use of smaller plastic particles appears to minimise the loss of compressive 188 strength in comparison to large particles. However, grading the size of the particles to 189 include some small and some large can be equally effective as more efficient packing 190 of the particles can be achieved. By treating the plastic particles to improve the 191 physical and chemical bonding with the concrete matrix losses in compressive strength 192 \vert can be minimised.

193 **3 Experimental methodology**

194 To identify suitable candidate materials to be used as sand replacement, experimental 195 tests were undertaken on eleven novel concrete mixes with the type of plastic being the 196 only experimental variable. Considering the results in Figure 1, and balancing the need 197 to substitute a sufficient volume of sand with plastic to see a change while minimising 198 potential strength losses, a constant replacement ratio of 10% by volume was used in 199 \parallel all but one of the mixes.

200 **3.1 Mix Design**

201 A reference concrete mix $(R1)$ was designed with a 14 day target mean strength of 202 53MPa (Teychenne et al., 1997), Table 1. The target strength was chosen to give a 203 realistic structural concrete to determine if plastic can be an appropriate sand 204 replacement for such mixes and as such have wider use beyond non-structural 205 concretes. Mixes with plastic replaced 10% by volume of the fine material.

207 208

209 **3.2 Replacement materials**

of 1.66g/cm 3 ; 2 angular, maximum 10mm diameter crushed gravel

210 Five plastics were used as sand replacement, as described in Table 2. Grading curves 211 for PET particles are given in Figure 2 and compared to the fine sand used in the mix. 212 Ten mixes with plastic (see Table 1) were cast along with the reference mix $(R1)$ 213 without plastic. The description of each mix is given in Table 3. All preparation, mixing 214 and casting was undertaken in accordance with BS EN 12390-2:2009 (BSI, 2009a).

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223 **3.3 Strength Testing**

224 Three 100mm concrete cubes were tested in compression in accordance with BS EN 225 12390-3:2009 (BSI, 2009b), and three 100mm diameter concrete cylinders were 226 subject to a split cylinder test following BS EN 12390-6:2009 (BSI, 2009c), 14 days 227 after casting of each mix listed in Table 3.

228 **3.4 Scanning Electron Microscopy**

229 A JEOL SEM6480LV scanning electron microscope (SEM) was used to identify 230 bonding between elements, distribution of plastic, and proportion and sizes of voids. A 231 selection of images were taken, on both fracture surfaces and resin impregnated 232 polished sections. Imaging was undertaken 28 days after casting, and was primarily 233 \vert used to aid qualitative analysis.

234 **4 Results**

235 \parallel A summary of strength test results for each mix is provided in Table 4. Figure 3 236 summarises the percentage changes in compressive and tensile strength for each mix. 237 Figure 4 and Figure 5 show the mean strength for each mix in compression and 238 \pm tension, with the range of results indicated by error bars.

239 **Table 4: Summary of test results for tensile and compression testing**

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Figure 3: Percentage change in strength of each mix compared to the reference mix

258 Albano et al. (2009) and shows that a 10% replacement has a negligible effect on the 259 concrete strength achieved.

260 PET2 and PET3 mixes achieved almost identical performance in compression, 261 showing that particles up to 4mm in size could feasibly be used in structural concrete. 262 Mix PET3, with particles up to 4mm in size, saw a loss of compressive strength of 263 \mid 4.1%, which is less than reported in the literature for other similar mixes. Mix HDPP1 264 contained particles of a similar maximum dimension as PET3, but with a smooth 265 spherical surface, which resulted in a significantly lower compressive and tensile 266 strength compared to PET3 (Table 4).

267 PET1, PET2, and PET3 mixes performed well in tension, with PET 1 achieving a 25% 268 increase in tensile strength when compared to the reference mix. However, the high 269 variability in tensile strength test results must be considered if such results are to be 270 used in design. In all cases, the plastic was debonded from the surrounding matrix at 271 | failure, Figure 6.

273 **Figure 6: SEM image of PET3 showing debonding of plastic particle on split cylinder** 274 **surface**

276 Mix HDPE1, which utilises shredded plastic carrier bags, had a 15% lower compressive 277 strength than the reference mix, whilst the tensile strength was 15% higher. During 278 tensile testing, failure was more gradual compared to both the Reference and PET1 279 mixes. Whilst high-density polyethylene has a very low ultimate tensile strength 280 compared to either polypropylene or PET, it can elongate up to 500% before failure 281 (Plastics International, 2017). Rather than the brittle failure observed with samples 282 using mix PET1 in HDPE1 samples the plastic was able to vield before a load sufficient 283 \pm to cause de-bonding was reached. The plastic then continuously deforms until the point 284 of concrete failure.

285 Mixes PPS1, PPF1, and PPF2 used replacement materials with a much higher aspect 286 $\overline{}$ ratios than any other plastic used in this study (Table 2). PPF1 saw a 38% loss in 287 compressive strength, but a 16% improvement in tensile strength, compared to the 288 \parallel reference mix. The significant drop in compressive strength is attributed to the poor 289 workability of this mix, where the large volume of long fibres became entangled and the 290 resulting concrete was of low density with significant porosity (Table 4). During tensile 291 testing of PPF1, a gradual failure mode was again noted caused by the presence of the 292 fibres crossing the failure plane. Figure 7 shows the fibre mixing and air voids in the 293 sample which led to the reduced compressive strength.

294 Mix PPF2 was cast to address the poor workability of PPF1 and was unique in this 295 study in having a replacement percentage of only 0.64% , following the work of Bayasi 296 \parallel and Zeng (1993). As seen in Table 4, this improved the performance of the mix, but the 297 small volume of fibres used provides only a small source of sand replacement and 298 | these fibres would be difficult to manufacture from recycled plastic.

299 To try and achieve the tensile strength improvements of PPF1, but maintain the 300 workability of PPF2, a third mix with strips of plastic was tested using 20mm long, 3mm

301 diameter plastic strips. The larger volume of these strips reduced the number required, preventing the entanglement seen in PPF1. PPS1 saw a loss of compressive strength 303 of only 2.9% compared to the reference, a considerable improvement on PPF1. However, there was a large decrease in tensile strength of the mix when compared to the reference sample. The 3mm diameter strips used in PPS1 have a much higher axial stiffness than the thin strips used in PPF1, being much larger in cross section. In PPF1 the strips elongated significantly before failure, whereas in PPS1 they did not reach a yield load and debonded from the matrix causing a sudden failure. The presence of the rather large and triangular strips in PPS1 may also have contributed to this premature failure, as seen in the lower density of this mix compared to the 311 | reference (Table 4).

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Figure 7: Fibre dispersal and air voids in mix PPF1

 Investigations to assess the effect of chemical surface treatments were undertaken 316 with mixes PET4 and PET5. In mix PET 4, the plastic was treated using common household bleach (sodium hypochlorite) with caustic soda (sodium hydroxide). The 318 plastic was immersed in the solution for one hour, before being drained and dried using heaters so as to not wash off any of the surface solution. The results show that this

320 method was unsuccessful, as PET4 performs very badly in both compression (-78%) 321 and tension (-52%) when compared to the reference mix.

322 It is proposed that after the plastic was subjected to the chemical solution and dried, 323 compounds originally dissolved precipitated on the surface of the plastic forming 324 crystals. When the plastic was added to the concrete mix these crystals dissolved in 325 the water and decomposed in the high pH environment of the cement forming oxygen. 326 It can be seen in Table 4 that the average density of mix PET4 after 14 days is 327 significantly lower than all other mixes at 1861kg/m³, due to the large number of voids 328 present in the concrete.

 | A modified method was utilised in mix PET5, where the plastic was washed first in bleach and sodium hydroxide, and then in water, before being dried. The results show 331 that PET5 achieved a compressive strength only 1.9% lower than the reference mixture, but perhaps more importantly was 2% higher than Mix 8, which used the 333 same, but untreated, plastic. This difference is potentially within the margins for error of 334 both samples and therefore should be viewed as a neutral result. The use of treatment to the plastic adds a step in the manufacturing process, and should therefore only be used if the improvement in mechanical performance is significant.

337 The results may further be compared to those reported in the literature (Figure 1) 338 where reductions in compressive strength of between 10-50% are reported at a 339 replacement ratio of 10%. The results here perform well by comparison, with some 340 notable exceptions as outlined above. By careful control of the mix design, strength 341 changes can be carefully controlled, see for example Mix PET1, to ensure that the 342 resulting concrete can be used in a structural context. It should be noted that there is 343 Scatter within the test results. Further data from a larger test program is required to fully 344 identify the patterns of behaviour outlined in this pilot study.

345 **6 Conclusions**

346 This paper has demonstrated the potential for using recycled waste plastic in structural 347 concrete mixes. At a replacement ratio of 10% by volume, this has the potential to save 348 820 millions tonnes of sand every year from being used in concrete mixes (Van Oss, 349 2015). This is equivalent to approximately 5% of total global annual sand consumption. 350 A further benefit is to add value to waste plastic, helping to reduce the volumes sent to 351 landfill in some countries. A reduction in sand demand from the construction industry 352 would further support efforts to limit the effects of sand dredging in countries such as 353 India and China, where significant sand volumes are extracted every year.

354 It is generally seen that substituting plastic into a concrete mix causes a decrease in 355 compressive and tensile strength due to the poor bond between the plastic and 356 surrounding matrix. Since failure in concrete propagates in tension, the poor bond 357 around plastic particles leads to a reduced compressive and tensile strength. The use 358 of a graded PET plastic matched to the size of the sand particles it replaces, and at a 359 replacement of 10% by volume, gave the most promising overall performance. This 360 material is furthermore cost effective to produce and comes widely available as a waste 361 material in many markets. This paper has shown that simply shredding a PET material 362 is sufficient processing to provide a viable alternative to sand.

363 Testing different forms of plastic has demonstrated that the most efficient plastic 364 aggregate used in a concrete mix should have a rough surface, be irregular in shape, 365 and be sufficiently small so as to not create a significant failure surface, but also be 366 graded similar to the sand it replaces. The results indicate that through appropriate mix 367 design reductions in strength can be minimised to acceptable levels.

7 Recommendations for Future Work

 Further investigations are needed before plastic can be considered for widespread use 370 in moderate- to high-strength structural concrete include 1) understanding the underlying bond between matrix and plastic, 2) investigating methods to improve this bond through chemical treatment; 3) investigating replacement percentages beyond 373 | 10%, 4) bond with steel reinforcement, 5) alternative cement types, and 6) the effect 374 that plastic has on durability, workability, fire performance, and construction cost.

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